





COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
Washington, D.C. 20231
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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/776,174

02/02/2001

B. J. Hawkins

2073.300

CONFIRMATION NO. 2201

FORMALITIES LETTER

OC00000005859588

Levin and Hawes, LLP. 384 Forest Ave., Suite 13 Laguna Beach, CA 92652

Date Mailed: 03/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

04/04/2001 FFAMAEIA 00000029 09776174

FILED UNDER 37 CFR 1.53(b)

01 FC:201 02 FC:203 03 FC:205 55.00 OP 54.00 OP 65.00 DD

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$108.
 - \$108 for 6 total claims over 20.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 948.

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 An abstract was not provided for this application. An abstract of the technical disclosure is required under 37 CFR 1.72(b).

A copy of this notice MUST be returned with the reply.





M. JIG ADDRESS:
P. () 90X 4140
LAGUNA BEACH, CALIFORNIA 92652
LOCATION:
384 FOREST AVENUE, SUITE 13
LAGUNA BEACH; CALIFORNIA 92651
TEL 949-497-7676
FAX 949-497-7679

www.lagunalaw.com

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Frage Bress ◆ and Related Litigation

MAES 9 2001

Via Express Mail EF272505471US

March 30, 2001

BOX MISSING PARTS

Assistant Commissioner for Patents Washington, D.C. 20231

Re:

Applicant: HAWKINS, B.J.

Patent No.: 09/776,174 **Our File No:** 2073.300

Dear Sir:

Both the inventors and assignee are entitled to small entity status.

Enclosed herewith please find the following:

- 1. Notice to File Missing Parts of Nonprovisional Application;
- 2. Recordation Form Cover Sheet for Assignment;
- 3. Assignment;
- 4. Power of Attorney;
- 5. Abstract; and
- 6. A check in the amount of \$514.00 (\$355 for the basic filing fee, \$54 for 6 claims over 20, \$65 pursuant to 37 CFR 1.16(e) and \$40 to file the assignment).
- 7. A self-addressed, stamped acknowledgement card to indicate receipt of the above-referenced documents.

Should you have any questions or comments with regard to the above, please do not hesitate to call (949) 497-7676 and Randall Reed or the undersigned will be happy to assist you.

Very truly yours.

L. Francine Sanders

Legal Assistant

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and any documents referred to as enclosed or attached are being deposited with the United States Postal Service on this date March 30, 2001, in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number <u>EF272505471US</u> addressed to the: Assistant Commissioner of Patents, Washington, D.C. 20231.

L. Francine Sanders

PART 2 - COPY TO BE RETURNED WITH RESPONSE



